10/009676 Rec'd PCT/PTO 03 DEC 2001

SAC

3

"EXPRESS MAIL" MAILING LABEL

NUMBER EL07434664OUS

DATE OF DEPOSIT December 3, 2001

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE COMMISSIONER OF PATENTS

Ana R. Rivera

(TYPED OR PRINTED NAME OF PERSON MAILING

AND TRADEMARKS, WASHINGTON, D.C. 20231.

PAPER OR FEE)

(SIGNATURE OF PERSON MAILING PAPER OR FEE)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of)
Henry Kozlowski)
on APPARATUS FOR ULTRAVIOLET LIGHT TREATMENT OF FLUIDS)))
Serial No.: National Stage Entry of Int'l Appln. No.: PCT/CA99/00520 International Filing Date: 4 June 1999)))
Filed: Simultaneously herewith)) (Our Docket No. 6746-01-WOUS)

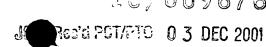
Hartford, Connecticut, December 3, 2001

Box PCT Assistant Commissioner for Patents Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

In accordance with 37 CFR §1.56, §1.97 and §1.98, Applicant hereby wishes to bring to the Examiner's attention the documents listed on the attached form PTO-1449. Copies of the listed documents are also being forwarded herewith.



Any fee required by the filing of this Information Disclosure Statement, and any attendant fees, may be charged to our Deposit Account No. 13-0235.

Respectfully submitted,

Donald K. Huber

Registration No. 18,686 Attorney for Applicant

McCormick, Paulding & Huber LLP CityPlace II 185 Asylum Street Hartford, Connecticut 06103-3402 (860) 549-5290

10/009676 JC13 PCC'd PCT/PTO 0 3 DEC 2001

Sheet 1 of 1

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANT(S)

Atty. Docket No.: 6746-01WOUS Applicant(s): Henry Kozlowski

For:

APPARATUS FOR ULTRAVIOLET LIGHT

TREATMENT OF FLUIDS

U.S. Serial No.: not yet assigned concurrently herewith Filed: Group Art Unit: not yet assigned

U.S. PATENT DOCUMENTS

Examiner's Initials	Patent Number 4,482,809 4,825,083 4,872,980 5,006,244 5,019,256 5,070,277 5,412,549 5,418,370 5,471,063 5,504,335 5,539,209 5,539,210 5,590,390	Patent Date 11/13/84 04/25/89 10/10/89 04/09/91 05/28/91 12/03/91 05/02/95 05/23/95 11/28/95 04/02/96 07/23/96 07/23/96 12/31/96	Name Maarschalkerweerd Latel et al. Maarschalkerweerd Maarschalkerweerd Ifill et al. Lapatovich Blakely Maarschalkerweerd Hayes et al. Maarschalkerweerd Maarschalkerweerd Maarschalkerweerd Maarschalkerweerd Maarschalkerweerd	Class/ Subclass 250/436 250/436 210/243 210/232 315/248 362/218 250/431 250/436 250/435 250/436 250/372 422/186.3

FOREIGN PATENT DOCUMENTS

Examiner's <u>Initials</u>	Document <u>Number</u>	<u>Date</u>	Country	Class/ Subclass		ranslation 'es No
	196 53 083A1 [′]	06/25/98	DE	A61L 2/10	X	Equivalent
	197 36 631 C1	04/29/99	DE	C02F 1/32	X	Abstract
	0 811 579B1	07/25/01	EP	C02F 1/32	X	

OTHER DOCUMENTS

Examiner's Initials	Document	
EXAMINER		DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).